



**UN Guiding Principles on Business and Human Rights
&
UN Voluntary Principles on Security and Human Rights**

**Canada's Extractive Sector CSR Counsellor
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Dar es Salaam
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Office of the CSR Counsellor

The Counsellor - special Advisor to the Minister of International Trade

Office established in 2009

Two mandated functions:

- **Advisory – explaining to stakeholders Government CSR expectations and promoting alignment with Government-endorsed CSR standards**
- **Review - creation and implementation of a non-judicial dispute resolution mechanism, including fact-finding**

Currently guided by the 2014 CSR Strategy, “Doing Business the Canadian Way”:

- **6 Internationally Recognised CSR Standards**



Canada's Approach to Business and Human Rights

- **Canadian Ombudsperson for Responsible Enterprises**
- **CSR Strategy: Doing Business the Canadian Way**
- **Canada's Progressive Trade Agenda**
- **Voices at risk: Canada's guidelines on supporting human rights defenders**



International CSR Standards

- The UN Voluntary Principles on Security and Human Rights
- The UN Guiding Principles on Business and Human Rights
- The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas
- The OECD Guidelines for Multinational Enterprises
- IFC Performance Standards on Social and Environmental Sustainability
- The Global Reporting Initiative for extractive sector CSR



The UN Voluntary Principles on Security and Human Rights

- designed to guide extractive sector corporate actors to anticipate and mitigate risks related to the deployment of public and private security.
- Created in 2000, the VPs prescribe general courses of action intended to help companies develop their own policies and procedures, tailored to local circumstances.
- In practice, they have proven to be helpful to companies operating in zones at high risk of social conflict linked to the extraction of natural resources, and are in use by companies around the world.



The UN Guiding Principles on Business and Human Rights

- The Guiding Principles (GPs) operationalize the Protect, Respect and Remedy Framework first presented to the UN Human Rights Council in 2008 by the Special Representative on Business and Human Rights, Dr. John Ruggie.
- The GPs identify distinct but complementary responsibilities of companies and governments regarding human rights, resting on three pillars:
 - 1) the state duty to protect against human rights abuses by third parties, including business;
 - 2) the corporate responsibility to respect human rights through due diligence; and
 - 3) ensuring greater access to effective remedies for victims.



CSR Standards Navigation Tool

- **Allows companies to more quickly access and understand international best CSR practices**
- **Gathering point of tools and guidelines from principle international organizations**



CSR Standards Navigation Tool

for the Extractive Sector



Office of
the Extractive Sector
Corporate Social Responsibility
Counsellor



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Road Map

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2.1 Social: Human Rights

2.1.2 Security and Human Rights

Identification (assessment; due diligence)

The use of security forces to protect people and property can increase the risk of allegations of abuses of human rights. The primary role of public or private security should be to maintain the rule of law, including safeguarding human rights and deterring acts that threaten company personnel and facilities. Before project commencement, companies should assess the risks posed by security arrangements to both the company and to local stakeholders. Key to this assessment is a consideration of complicity - i.e. the indirect involvement of a company in human rights abuses.

Companies should consider:

- security risks
- potential for violence
- human rights records
- rule of law
- conflict analysis
- risks of security equipment transfers

Companies should update risk assessments on a regular basis and engage continually with all relevant stakeholders.

Self-Assessment Questions

- Has a risk assessment of security risks and associated potential human rights impacts been conducted?

ADDITIONAL RESOURCES

Voluntary Principles on Security and Human Rights: Implementation Guidance Tools
http://www.voluntaryprinciples.org/files/Implementation_Guidance_Tools.pdf

ICRC-DCAF Addressing Security and Human Rights Challenges in Complex Environments Toolkit
<http://www.securityhumanrightshub.org/content/toolkit>

UNICEF Child Rights and Security Checklist
https://www.unicef.org/csr/files/Child_rights_and_Security_Checklist_ENG.pdf

Performance Standards Guidance Notes
http://www.iftc.org/wps/wcm/connect/e260e8f04a0256502709ff1a5d13427/GN_English_2012_Full-Documents.pdf?MOD=AJPERES

UN Global Compact - Auditing Implementation of the Voluntary Principles of Human Rights
<http://globalcompact.ca/wp-content/uploads/2016/08/Auditing-Implementations-of-VPrs-on-Security-and-Human-Rights.pdf>

The International Code of Conduct for Private Security Providers (ICoCA) https://icoca.ch/en/the_icoca

INTERNATIONAL STANDARDS

OECD Guidelines for Multinational Enterprises (MNEs)

[Page 20 \(Chapter II, General Policies, Recommendations 10, 11\)](#)

Voluntary Principles on Security and Human Rights (VPs)

[Page 2 \(Risk Assessment\)](#)

[Pages 3-4 \(Interactions between Companies and Public Security, Security Arrangements\)](#)

[Pages 5-6 \(Interactions between Companies and Public Security, Consultation & Advice\)](#)

International Finance Corporation's (IFC's) Performance Standards (PSs)

[Page 30 \(PS 4, Security, Personnel, Sections 12-14\)](#)

1. Identification: Assessment; due diligence

2. Practices, policies and procedures; behaviors

3. Monitoring and Evaluation

4. Disclosure and transparency

Each have self assessment questions



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UNICEF Child Rights and Security Checklist (https://www.unicef.org/csr/files/Child_rights_and_Security_Checklist_ENG.pdf)

Performance Standards Guidance Notes (http://www.ifc.org/wps/wcm/connect/e280e850-4a02-56569709f51a5d13d477/IGN_English_2012_Full_Document.pdf?MOD=AJPERES)

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Link to specific pages and guidance notes





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See the full 2014 CSR Strategy for the Extractive Sector at
www.csr.gc.ca

Find out more about the Office of the Extractive Sector CSR Counsellor at
http://www.international.gc.ca/csr_counsellor-conseiller_rse/index.aspx?lang=eng